

2006 NOV 17 PM 4:07

CANCELLED
2006 NOV 16 PM 4:07

SONY-16200

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application: Richard A. Bardini et al.)

Serial.: 10/091,636)

Filed: March 5, 2002)

For: **A METHOD FOR ANY SPEED
DUBBING USING
ISOCRONOUS PACKETS ON
ISOCRONOUS CHANNELS
OR ON ASYNCHRONOUS
STREAMS OVER AN IEEE 1394-
2000 SERIAL BUS NETWORK**)

**REQUEST FOR REFUND (IMPROPER
CHARGE OF DEPOSIT ACCOUNT)**

162 North Wolfe Road
Sunnyvale, CA 94086
(408) 530-9700

Customer No. 28960

Attn: Refund Section, Accounting Division
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450.

Sir:

This is a request for a refund, with respect to the charge to Deposit Account 08-1275, shown on the attached statement dated October 24, 2006, for the above-identified patent application.

A Supplemental Information Disclosure Statement was filed on September 28, 2006, (see copy of transmittal letter, certification for supplemental information disclosure statement and stamped received postcard). Deposit Account 08-1275 was debited \$180.00 on October 24, 2006. However, a certification was filed within the three month statutory time period. Therefore, no supplemental information disclosure statement fee should have been debited from the deposit account.

Applicant respectfully requests a credit to Deposit Account 08-1275 in the amount of \$180.00. The Commissioner is hereby authorized to credit Deposit Account 08-1275. An originally executed duplicate of this Request is enclosed for this purpose.

Respectfully submitted
HAVERSTOCK & OWENS LLP

Dated: November 14, 2006
CERTIFICATE OF MAILING (37 CFR § 1.8(a))
I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

By: Jonathan O. Owens
Jonathan O. Owens
Registration No.: 37,902
Attorneys for Applicant(s)

HAVERSTOCK & OWENS LLP.

Date: 11/14/06 By: [Signature]

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**United States
Patent and
Trademark Office**

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Deposit Account Statement

Requested Statement Month: October 2006
Deposit Account Number: 081275
Name: HAVERSTOCK & OWENS LLP
Attention: DANIELLE DALTON
Address: 162 NORTH WOLFE ROAD
City: SUNNYVALE
State: CA
Zip: 94086
Country: UNITED STATES

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
10/04	1	10897296	SSI-00502	1202	\$250.00	\$4,305.00
10/11	10	09970309	SSI-00800	1806	-\$180.00	\$4,485.00
10/11	18	11111520	COOL-00906	1806	-\$180.00	\$4,665.00
10/11	19	10366030	SSI-05201	1806	-\$180.00	\$4,845.00
10/11	2	10327360	NUASI-00104	1806	\$180.00	\$4,665.00
10/13	839	76554864	SCI-01800	7004	\$150.00	\$4,515.00
10/13	932	76520406	WILL-0010	7004	\$150.00	\$4,365.00
10/13	615	PCT/US06/38922	AIRP-00801WO	1602	\$700.00	\$3,665.00
10/18	38	PCT/US06/39262	STFO-00401WO	8007	\$20.00	\$3,645.00
10/20	155	11582657	COOL-1304	9204	-\$500.00	\$4,145.00
10/23	11	10680783	SSI-04001	1201	\$200.00	\$3,945.00
10/23	12	10680783	SSI-04001	1202	\$50.00	\$3,895.00
10/23	13	10680783	SSI-04001	1202	\$50.00	\$3,845.00
10/24	1	10091636	SONY-16200	1806	\$180.00	\$3,665.00
10/25	125	PCT/US06/40603	WBE-00101WO	1602	\$700.00	\$2,965.00
10/25	194	11585401	BRAUN-00502	2202	\$25.00	\$2,940.00
10/25	196	11585401	BRAUN-00502	2203	\$180.00	\$2,760.00
10/26	1	10074390	SONYP001/P4327	1201	\$200.00	\$2,560.00
10/26	1	10412121	SSI-04701	1806	\$180.00	\$2,380.00
10/27	4	78720892	OBSC-00500	6001	\$750.00	\$1,630.00
10/30	58	PCT/US06/41198	ENVIS-00101WO	1602	\$700.00	\$930.00
10/31	40	SERVICE CHARGE		9202	\$25.00	\$905.00

START BALANCE	SUM OF CHARGES	SUM OF REPLENISH	END BALANCE
\$4,555.00	\$4,690.00	\$1,040.00	\$905.00

COPY

Serial No.: 10/091,636 H&O File No.: SONY-16200 By: JDO/JBG
In the Matter of The Application of:
Date Mailed: 9/28/06 Due Date: NOV. 30, 2006
The following has been received in the U.S. Patent and Trademark Office on the date stamped hereon:

<input type="checkbox"/> Design Patent Application _____ Pages	<input type="checkbox"/> Form PTO-1533 (Not. of Missing Parts)	<input checked="" type="checkbox"/> Transmittal Letter	<input checked="" type="checkbox"/> Duplicate
<input type="checkbox"/> Utility Patent Application _____ Pages	<input type="checkbox"/> Form PTOL-85B (Issue Fee Trans.) & dup	<input checked="" type="checkbox"/> Certificate of Mailing	
<input type="checkbox"/> Prov. Patent Application _____ Pages	<input type="checkbox"/> Form PTO-1050 (Cert. of Correction)	<input type="checkbox"/> Certificate of Express Mailing	
<input type="checkbox"/> Declaration/Oath	<input type="checkbox"/> Maintenance Fee Transmittal Form	Label No. _____	
<input type="checkbox"/> Power of Attorney _____	<input type="checkbox"/> Reexamination Request	<input type="checkbox"/> Check(s) \$ _____	
<input type="checkbox"/> Assignment	<input type="checkbox"/> Notice of Appeal	<input checked="" type="checkbox"/> Deposit Account Authorization Form	
<input type="checkbox"/> Form PTO-1594/1595	<input type="checkbox"/> Affidavit/Declaration		
<input type="checkbox"/> Small Entity Statement	<input type="checkbox"/> Copy of Filing Receipt		
<input type="checkbox"/> Drawings: _____ Sheet(s)	<input type="checkbox"/> Req. for Corr. of: _____		
<input type="checkbox"/> Formal <input type="checkbox"/> Informal	<input type="checkbox"/> Request for Non-Publication		
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Rescission of Request for Non-Publication		
<input checked="" type="checkbox"/> Form PTO-1449 and Copies of 2	<input type="checkbox"/> _____		
References Contained Thereon	<input type="checkbox"/> _____		
<input checked="" type="checkbox"/> Information Disclosure Certification	<input type="checkbox"/> _____		
<input type="checkbox"/> Amendment/Response	<input type="checkbox"/> _____		
<input type="checkbox"/> Extension of Time Request: _____ Month(s)	<input type="checkbox"/> _____		
<input type="checkbox"/> Petition	<input type="checkbox"/> _____		
	<input type="checkbox"/> _____		

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PATENT
Attorney Docket No.: SONY-16200

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

COPY

In re Application of:)	Group Art Unit: 2616
Richard A. Bardini et al.)	Examiner: Hamann, Jordan J.
Serial No.: 10/091,636)	<u>TRANSMITTAL LETTER</u>
Filed: March 5, 2002)	162 N. Wolfe Road
)	Sunnyvale, CA 94086
)	(408) 530-9700
For: A METHOD FOR ANY SPEED DUBBING USING ISOCHRONOUS PACKETS ON ISOCHRONOUS CHANNELS OR ON ASYNCHRONOUS STREAMS OVER AN IEEE 1394-2000 SERIAL BUS NETWORK)	Customer No. 28960

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

A Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Supplemental Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon are enclosed for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. An originally executed duplicate of this transmittal is enclosed for this purpose.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: September 28, 2006

By: Jonathan O. Owens
Jonathan O. Owens
Reg. No.: 37,902

Attorneys for Applicants

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

- 1 -

HAVERSTOCK & OWENS LLP.
Date: 9/28/06 By: [Signature]

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Richard A. Bardini et al.

Serial No.: 10/091,636

Filed: March 5, 2002

For: **A METHOD FOR ANY SPEED
DUBBING USING ISOCHRONOUS
PACKETS ON ISOCHRONOUS
CHANNELS OR ON
ASYNCHRONOUS STREAMS
OVER AN IEEE 1394-2000 SERIAL
BUS NETWORK**

) Group Art Unit: 2616

) Examiner: Hamann, Jordan J.

) **TRANSMITTAL LETTER**

) 162 N. Wolfe Road
) Sunnyvale, CA 94086
) (408) 530-9700

) Customer No. 28960

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Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

A Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Supplemental Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon are enclosed for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. An originally executed duplicate of this transmittal is enclosed for this purpose.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: September 28, 2006

By: Jonathan O. Owens
Jonathan O. Owens
Reg. No.: 37,902

Attorneys for Applicants

NOTICE TO COMPTROLLER (37 CFR 1.60)
I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP

Date: 9/28/06 By: [Signature]

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Richard A. Bardini et al.

Serial No.: 10/091,636

Filed: March 5, 2002

For: **A METHOD FOR ANY SPEED
DUBBING USING ISOCHRONOUS
PACKETS ON ISOCHRONOUS
CHANNELS OR ON
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OVER AN IEEE 1394-2000 SERIAL
BUS NETWORK**

Group Art Unit: 2616

Examiner: Hamann, Jordan J.

TRANSMITTAL LETTER

162 N. Wolfe Road
Sunnyvale, CA 94086
(408) 530-9700

Customer No. 28960

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

Certification

I, the person signing below, hereby certify that the printed publications contained in the enclosed supplemental information disclosure statement were cited within an Official Action mailed on August 30, 2006. Accordingly, I hereby certify that no reference contained within this supplemental information disclosure statement was cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, no reference contained within this supplemental information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this supplemental information disclosure statement. 37 C.F.R. 1.97(e)(2)

Attorney Docket No.: PATENT
SONY-16200

Identification of Person Making Certification

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: September 28, 2006

By: Jonathan O. Owens
Jonathan O. Owens
Reg. No.: 37,902

Attorneys for Applicants

37 CFR § 1.8(a)
I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.

Date: 9/28/06 By: [Signature]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Richard A. Bardini et al.

Serial No.: 10/091,636

Filed: March 5, 2002

For: **A METHOD FOR ANY SPEED
DUBBING USING ISOCHRONOUS
PACKETS ON ISOCHRONOUS
CHANNELS OR ON
ASYNCHRONOUS STREAMS OVER
AN IEEE 1394-2000 SERIAL BUS
NETWORK**

Group Art Unit: 2616

Examiner: Hamann, Jordan J.

**SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT**

162 N. Wolfe Road
Sunnyvale, CA 94086
(408) 530-9700

Customer No. 28960

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicants have become aware of the following printed publications which may be material to the examination of this application:

- U.S. Patent No. 6,335,933 B1; and
- U.S. Patent No. 6,717,947 B1.

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: September 28, 2006

By: Jonathan O. Owens
Jonathan O. Owens
Reg. No.: 37,902

Attorneys for Applicants

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP

Date: 9/28/06 By: [Signature]

FORM PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No.: SONY-16200		Serial No.: 10/091,636	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use Several Sheets If Necessary)				Applicants: Richard A. Bardini et al.			
(37 CFR § 1.98(b))				Filing Date: March 5, 2002		Group Art Unit: 2616	
U.S. PATENT DOCUMENTS							
Examiner Initials	Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date	
AA	6,335,933 B1	01/01/2002	Mallory	370	394	05/21/1999	
AB	6,717,947 B1	04/06/2004	Ghodrat et al.	370	395.1	12/03/1998	
AC							
AD							
AE							
AF							
AG							
AH							
AI							
AJ							
FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS							
	Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation	
						Yes	No
AK							
AL							
AM							
AN							
AO							
OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)							
AP							
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AT							
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AV							
AW							
AX							
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Examiner:				Date Considered:			
EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

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